



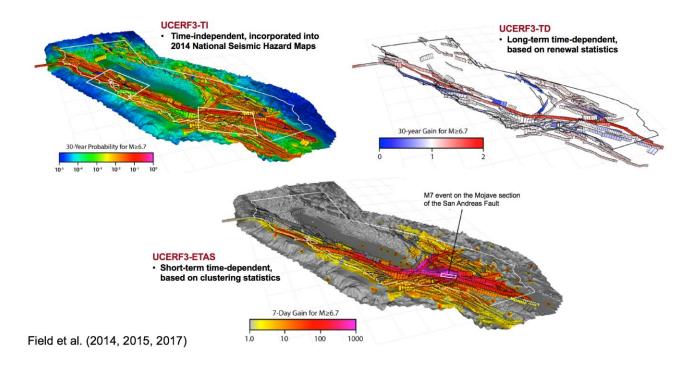


#### Overview of the

#### 3<sup>rd</sup> Uniform California Earthquake Rupture Forecast (UCERF3)

Edward (Ned) Field & other WGCEP participants:

Thomas H. Jordan, Morgan T. Page, Kevin R. Milner, Bruce E. Shaw, Timothy E. Dawson, Glenn P. Biasi, Tom Parsons, Jeanne L. Hardebeck, Andrew J. Michael, Ray J. Weldon II, Peter M. Powers, Kaj M. Johnson, Yuehua Zeng, Karen R. Felzer, Nicholas van der Elst, Christopher Madden, Ramon Arrowsmith, Maximilian J. Werner, Wayne R. Thatcher









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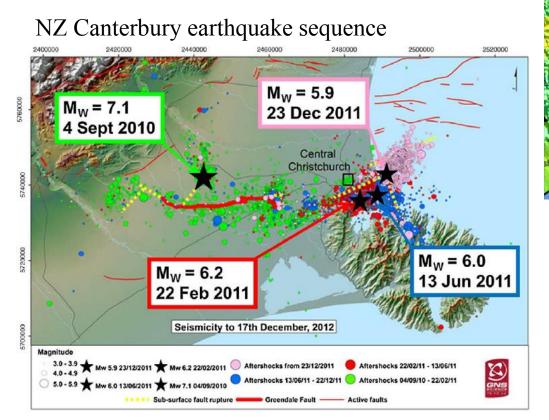


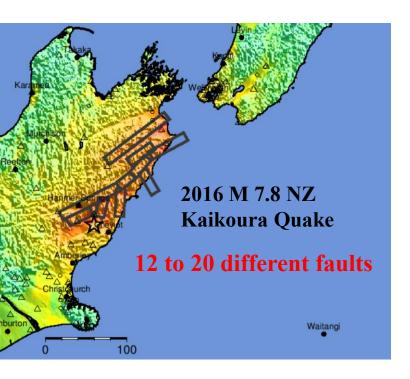






**Biggest Issues:** previous models lacked multi-fault ruptures and spatiotemporal clustering (potentially damaging aftershocks)





O'Rourke et al. (2014)







# **UCERF3** Implications

**Practical**:

- Both multi-fault ruptures and spatiotemporal clustering are included (e.g., as basis for OEF)
- Question: *is UCERF3 useful enough to be worth operationalizing?* (model value depends on hazard or risk metric, and will therefore vary between applications)

#### Scientific:

- UCERF3 implies *Gutenberg Richter is not applicable to all faults*
- Combining finite faults with spatiotemporal clustering implies a need for *elastic rebound/relaxation* (otherwise large triggered events would simply re-rupture the main-shock rupture surface much more than we see in nature)

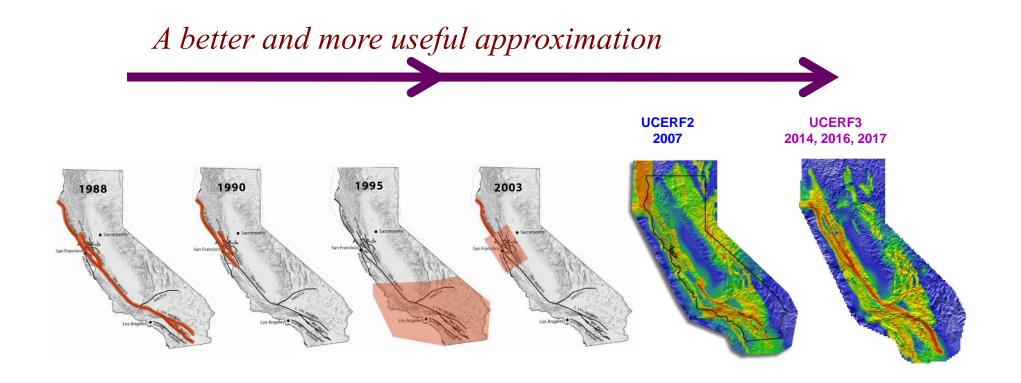






#### Working Groups on California Earthquake Probabilities (WGCEPs)

(the most official time-dependent earthquake forecasts for California)



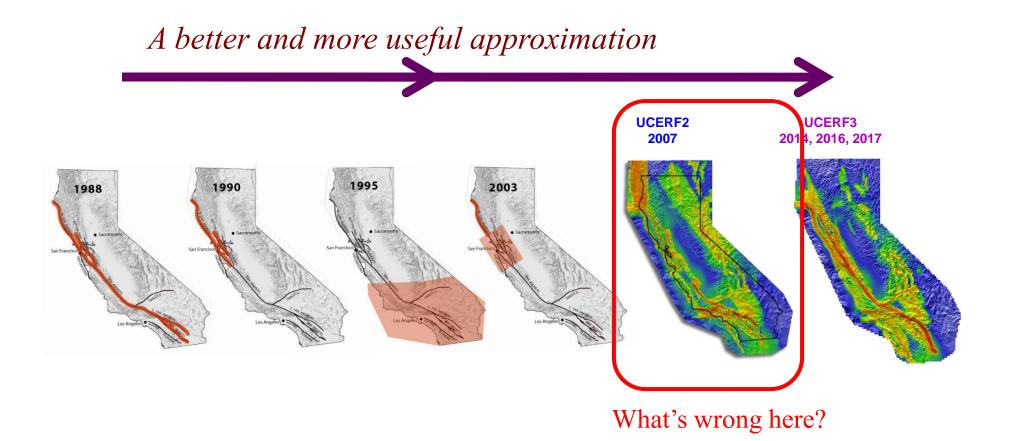






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## **UCERF2 Problems:**

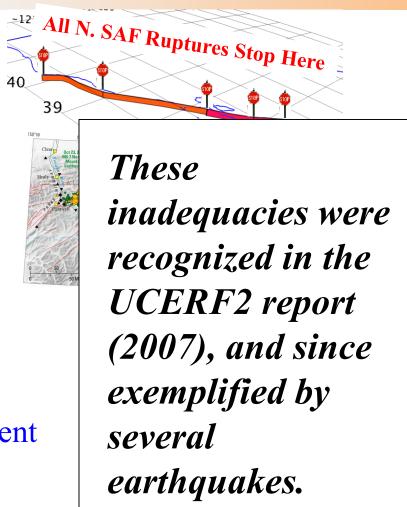
1) Assumes segmentation

2) Excludes multi-fault ruptures

3) Over-predicts M ~6.7 events

4) Elastic rebound not self-consistent

5) Lacks spatiotemporal clustering



Christchurch NZ





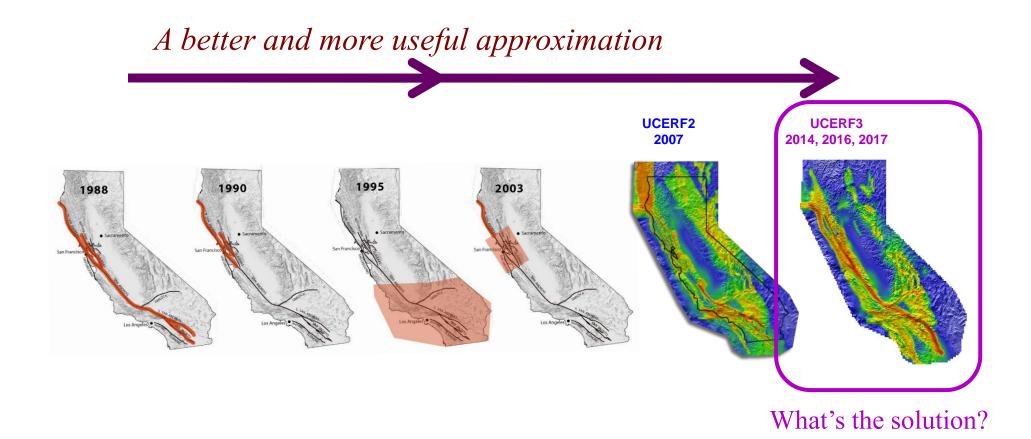






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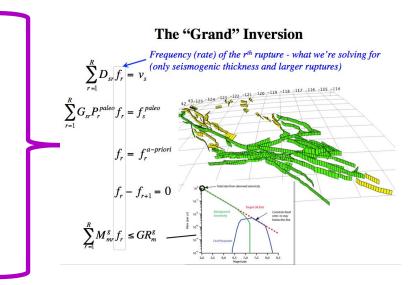
## **UCERF2** Issues:

# **UCERF3 Solutions:**

1) Assumes segmentation

2) Excludes multi-fault ruptures

3) Over-predicts M ~6.7 events



4) Elastic rebound not self-consistent

New method supported by physics-based simulators

5) Lacks spatiotemporal clustering

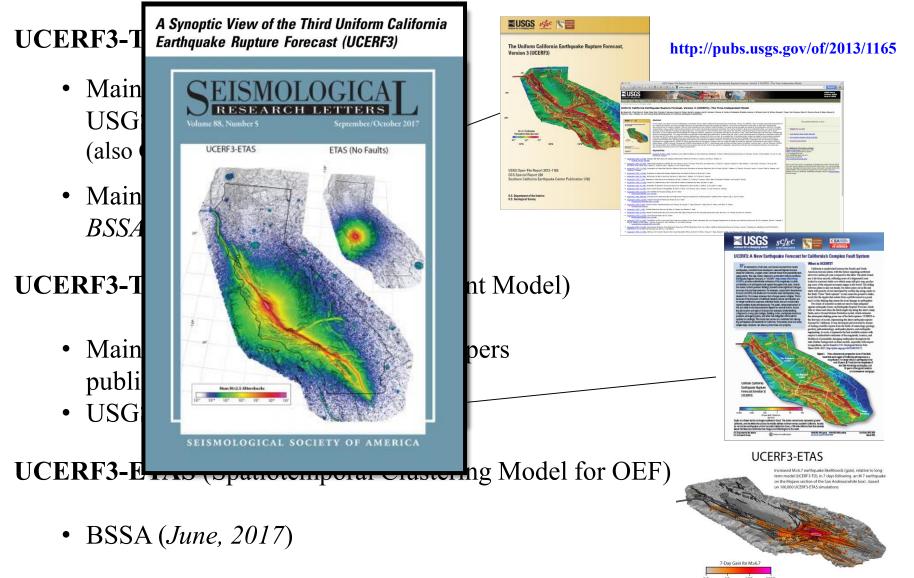








#### **UCERF3** Publications





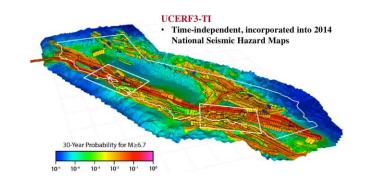




#### **UCERF3** Publications



- Main report and 20 Appendices in USGS OFR 2013-1165 (also CGS Special Report 228)
- Main report & Appendix N also in BSSA (2014, vol. 104, no. 3)



UCERF3-ETAS • Short-term time-dependent based on clustering statistic  UCERF3-TD
 Long-term time-dependent, based on renewal statistics

M7 event on the Mojave section of

UCERF3-TD (Long-Term Time Dependent Model)

Main publis
 USGS
 UCERF3-E<sup>T</sup>
 The goal here is to define the long-term publis rate of every possible earthquake rupture throughout the region (at some level of discretization)

• Published in BSSA (June, 2017; available on line)

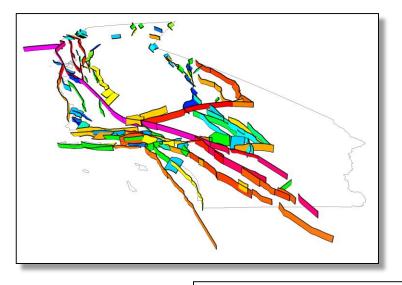


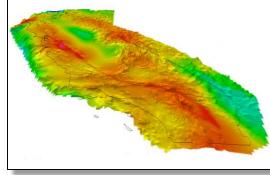




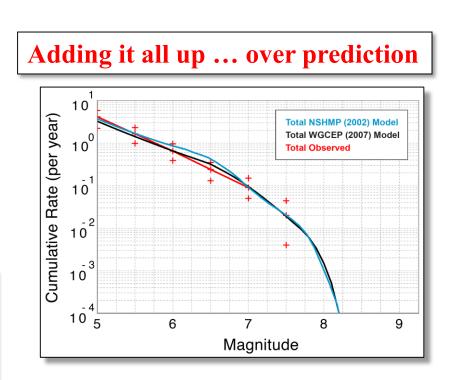
#### **Old approach to defining long-term earthquake rates:**

# Assume faults are separate and cannot rupture together





Add in off-fault seismicity







2016 M 7.8 NZ

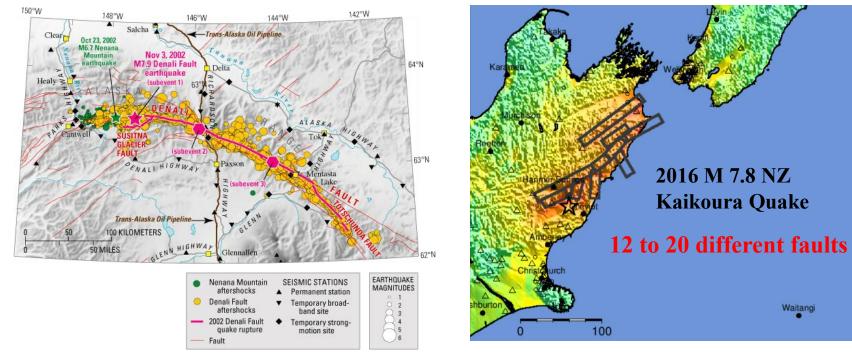
Kaikoura Quake

Waitangi



#### We've now seen several multi-fault ruptures; e.g.,

#### 2002 M 7.9 Denali Quake

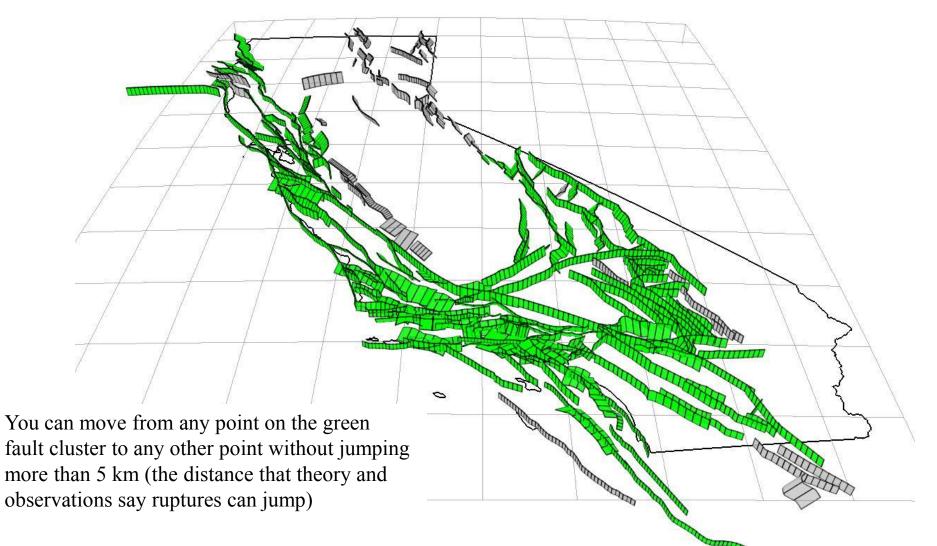








# And filling out the fault inventory has revealed an interconnected fault system

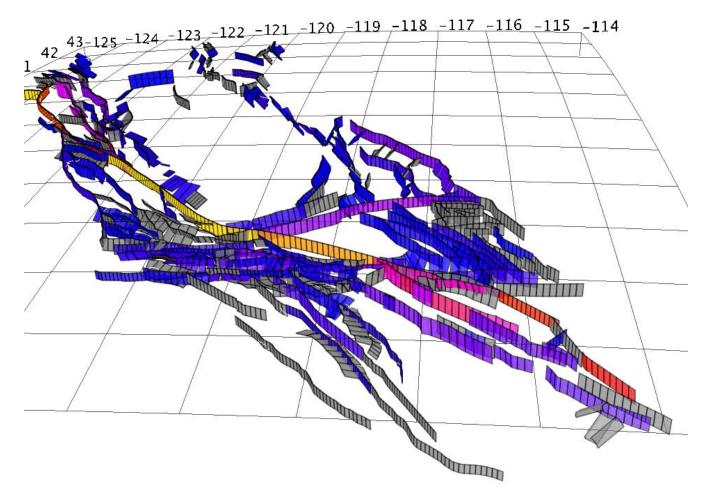








 Divide faults into subsections and define all ruptures as the set of 2 or more contiguous subsections that pass a plausibility test (e.g., fault gap ≤5km); ~250,000 ruptures compared to ~8,000 in UCERF2)

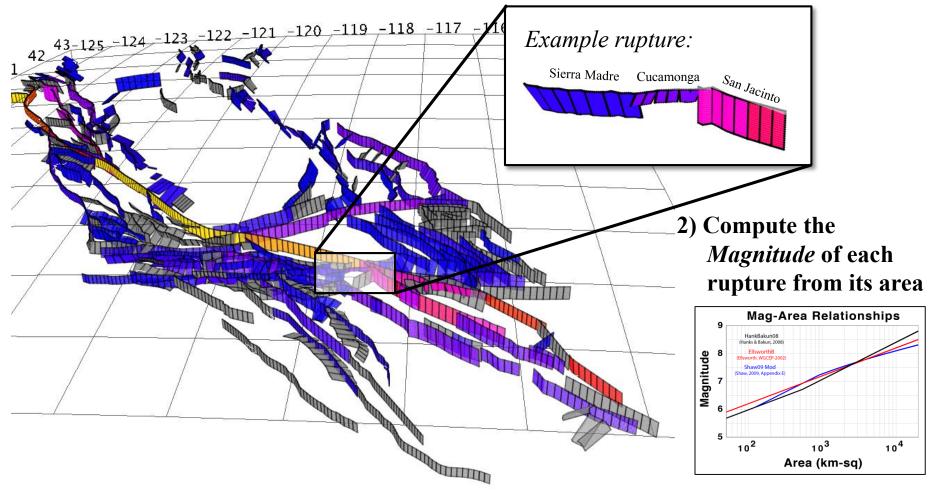








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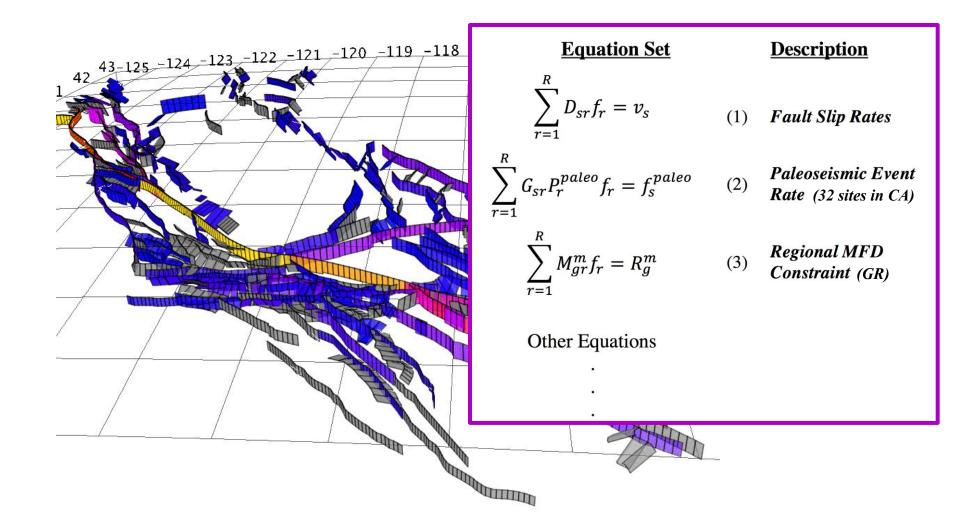








#### 3) Solve for the rate of each rupture $(f_r)$ from a system of equations/constrains

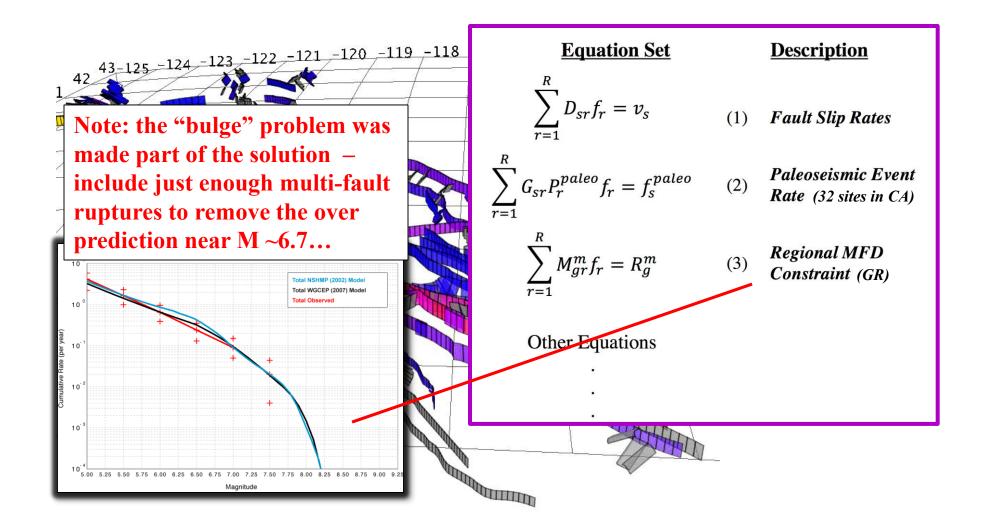








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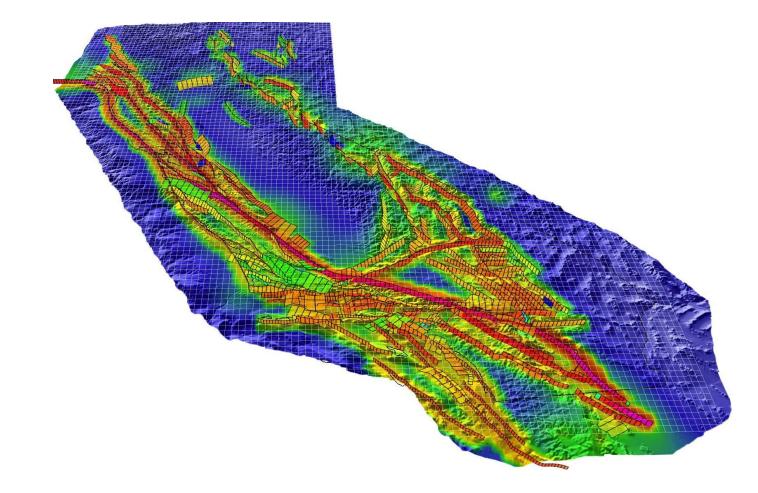








# Add off-fault (gridded) seismicity to make a complete forecast

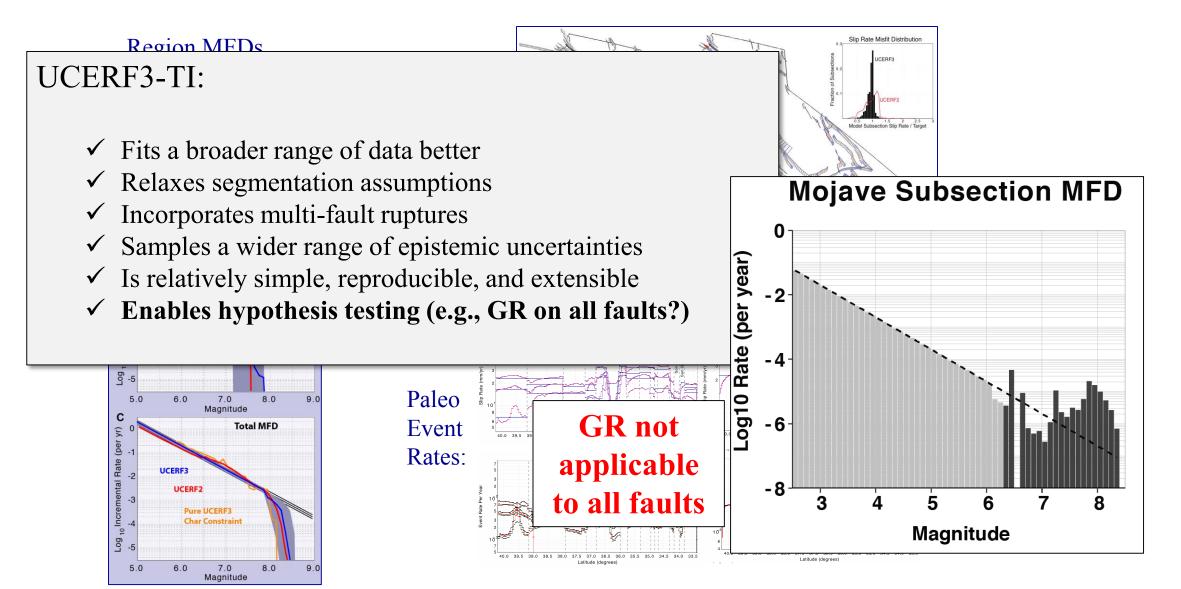








#### Data Fits (better than UCERF2):





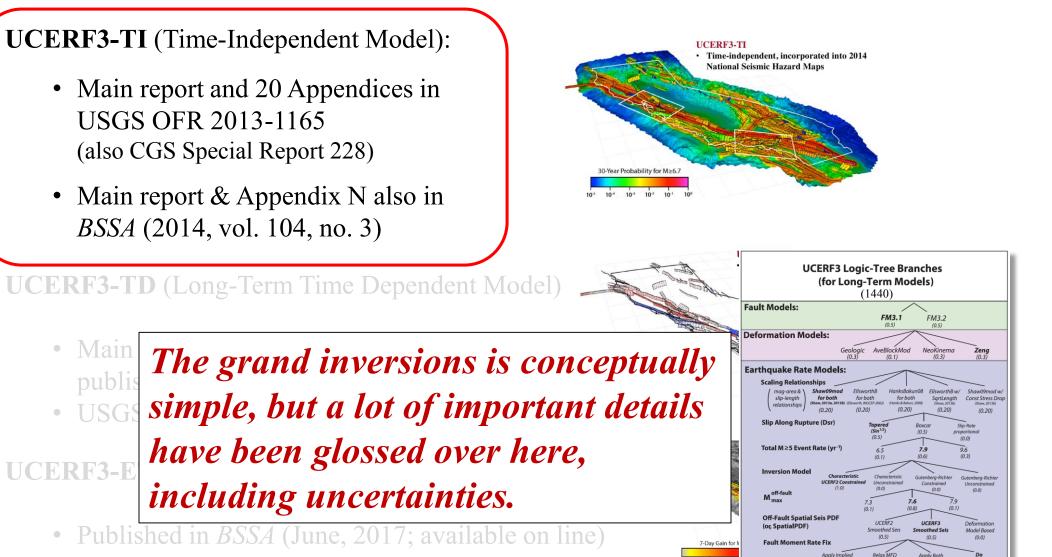




Constrain

Coupling Coefficient

#### **UCERF3** Publications





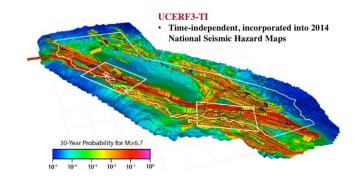




#### **UCERF3** Publications



- Main report and 20 Appendices in USGS OFR 2013-1165 (also CGS Special Report 228)
- Main report & Appendix N also in BSSA (2014, vol. 104, no. 3)



30-year Gain for M≥6.7

UCERF3-ETAS

Short-term time-dependent based on clustering statistic **UCERF3-TD** 

on renewal statistics

· Long-term time-dependent, based

M7 event on the Mojave section of

UCERF3-TD (Long-Term Time Dependent Model)

- Main report & two methodology papers published in *BSSA* (April, 2015)
- USGS Fact sheet too

UCERF3-ETAS (Spatiotemporal Clustering Model for OEF

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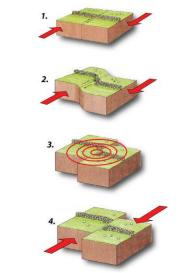




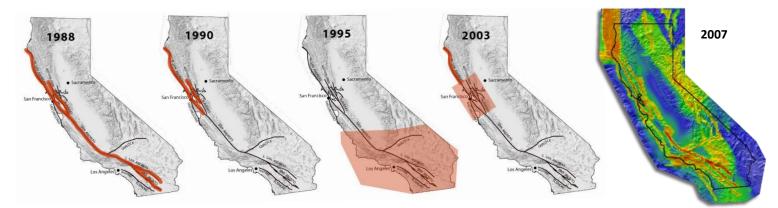


#### **Reid's (1911) Elastic-Rebound Theory:**

Rupture probabilities drop on a fault after experiencing a large rupture and build back up with time as tectonic stresses re-accumulate



The basis of all previous WGCEP models:



Problem – WGCEP 2003/2007 algorithm is biased and not selfconsistent for un-segmented models







#### **UCERF2** Methodology (from WGCEP 03):

Based on a weight-average of section probability gains

$$P_{r}^{U2} = f_{r} \frac{\sum \left( P_{s}^{BPT} \dot{M} o_{s} \, / f_{s} \right)}{\sum \dot{M} o_{s}} \approx P_{r}^{Pois} \frac{\sum \dot{M} o_{s} \left( P_{s}^{BPT} / P_{s}^{Pois} \right)}{\sum \dot{M} o_{s}}$$

#### **UCERF3 Methodology:**

Based on a weight-average of section recurrence intervals and time-since-last-event

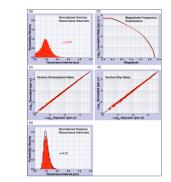


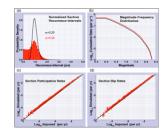


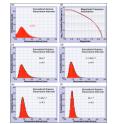


#### **UCERF3-TD Elastic-Rebound Model:**

- ✓ Much more self consistent & less biased, as shown by Monte Carlos simulations
- Supports magnitude-dependent aperiodicity







- ✓ Accounts for historic open interval (e.g., *last event was* sometime before ~1875), so time-dependent model now applied to all faults (which is influential)
- ✓ Consistent with physics-base simulators (a WGCEP first)
- ✓ Model is more testable

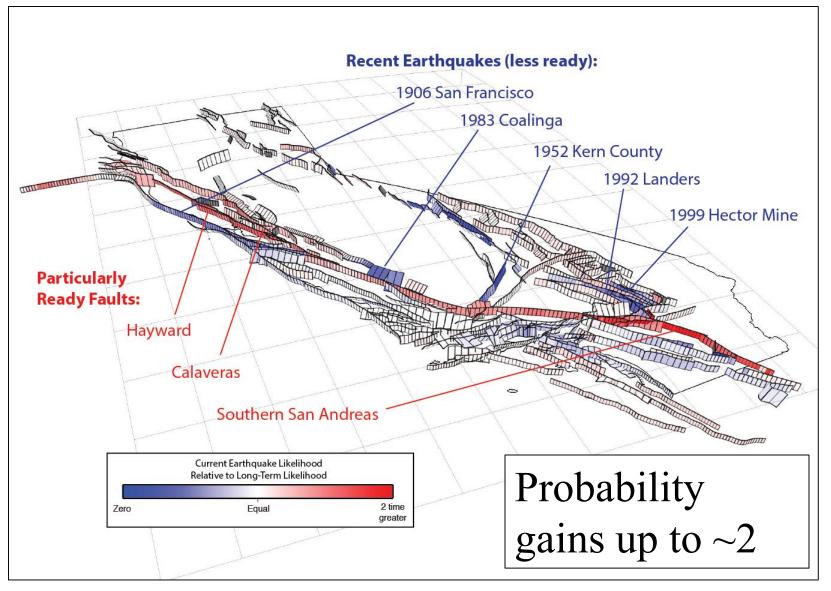








# UCERF3-TD









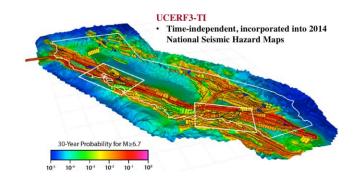
#### **UCERF3** Publications

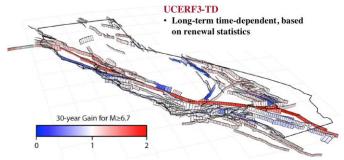
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UCERF3-TD (Long-Term Time Dependent Model)

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#### UCERF3-ETAS (Spatiotemporal Clustering Model for OEF)

• *BSSA* (June, 2017)

OEF) UCERF3-ETAS • Short-term time-depend nt, based on clustering statil its 7-Day Gain for M26.7

M7 event on the Mojave section of

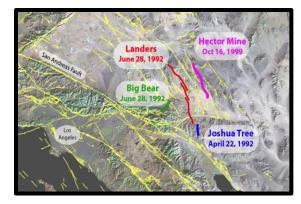






#### Why? Because aftershocks (triggered events) can be large and damaging...

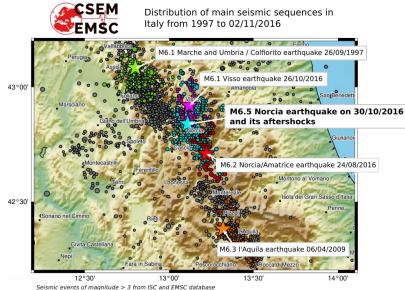
#### *J-tree* $\rightarrow$ *Landers* $\rightarrow$ *Big Bear* $\rightarrow$ *Hector Mine in 1990s*



#### Darfield $\rightarrow$ Christchurch $\rightarrow$ M7.8 Kaikoura

# Image: Control of the state of the sta

#### Italy 1997-2016









# **Goal:** Operational Earthquake Forecasting (OEF)

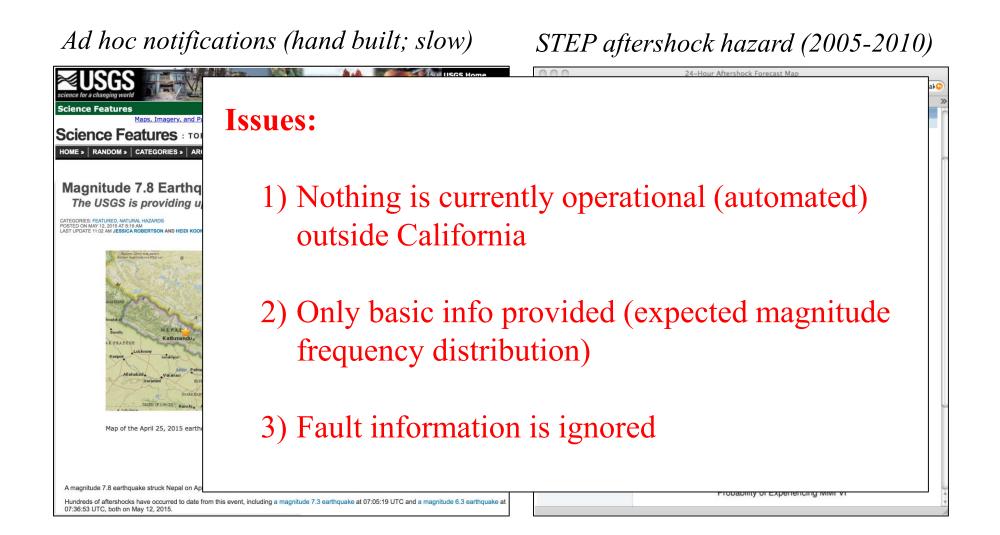
Real-time, authoritative information on earthquake likelihoods (including aftershocks) to inform seismic risk mitigation efforts (Jordan and Jones, 2010; Jordan et al., 2011).







# The USGS has been releasing aftershock information since the 1980s...









#### **Currently Viable OEF Models**

- 1) Reasenberg & Jones (1989)
- 2) STEP (Gerstenberger et al., 2005)
- 3) ETAS (Ogata, 1988)



- All imply that he most likely place for next event is the location of the most recent one (opposite of Reid's elastic rebound)
- Experts think that fault proximity is important when it comes to triggering large earthquakes

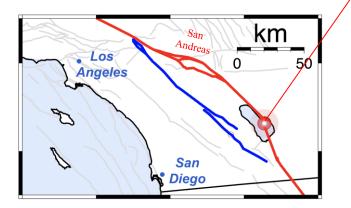






#### Faults are important...

*i.e., CEPEC - the California Earthquake Prediction Evaluation Council (which advised the governor/CalOES) gets on the phone when small earthquakes are occurring near the San Andreas Fault.* 





#### CALIFORNIA EARTHQUAKE PREDICTION EVALUATION COUNCIL (CEPEC)

#### **MEMORANDUM**

TO:	Director, Governor's Office of Emergency Services
FROM:	California Earthquake Prediction Evaluation Council (CEPEC)
DATE:	September 27, 2016
RE:	The Salton Sea Earthquake Swarm of September 2016

#### Statement from the California Earthquake Prediction Evaluation Council

At the request of the California Office of Emergency Management, the California Earthquake Prediction Evaluation Council (CEPEC) met by teleconference at 08:30 hrs (PDT) today, September 27, 2016. The purpose of the teleconference was to discuss and evaluate a sequence of small earthquakes (~150+) that are clustered about 10 kilometers southwest of Bombay Beach, Salton Sea area.

The cluster is just west of the projected southern extension of the San Andreas Fault and commenced at 04:03 hrs on September 26, 2016. The majority of the magnitudes have been less than 2.0; however, at 07:30 hrs on September 26, 2016 a M4.3 earthquake occurred, followed by a second M4.3 at 20:23 hrs and a M4.1 at 20:36 hrs. The cluster is located in the southern California geological spreading zone on a small "bookend" fault striking nearly perpendicular to the San Andreas Fault. This cluster is just south of an apparently similar cluster that occurred in March 2009 on an adjacent, subparallel bookend fault.

The close proximity to the San Andreas Fault increases the concern that these earthquakes could trigger a large earthquake (M7.0+) on the San Andreas itself. A major earthquake on this southern portion of the San Andreas Fault has not occurred in over 300 years, so the probability of a large earthquake is thought by some seismologists to be higher than on portions of the fault that have ruptured more recently (e.g. in 1857 and 1906).

CEPEC believes that stresses associated with this earthquake swarm may increase the probability of a major earthquake on the San Andreas Fault to values between 0.03 percent and 1.0 percent for a M7.0 or larger earthquake occurring over the next week (to













# **The question**: is this M 5 earthquake more likely to trigger something big (e.g., $M \ge 6.7$ ) than this one?

Kannt

*If you answered yes, then you also believe in characteristic MFDs on faults* 







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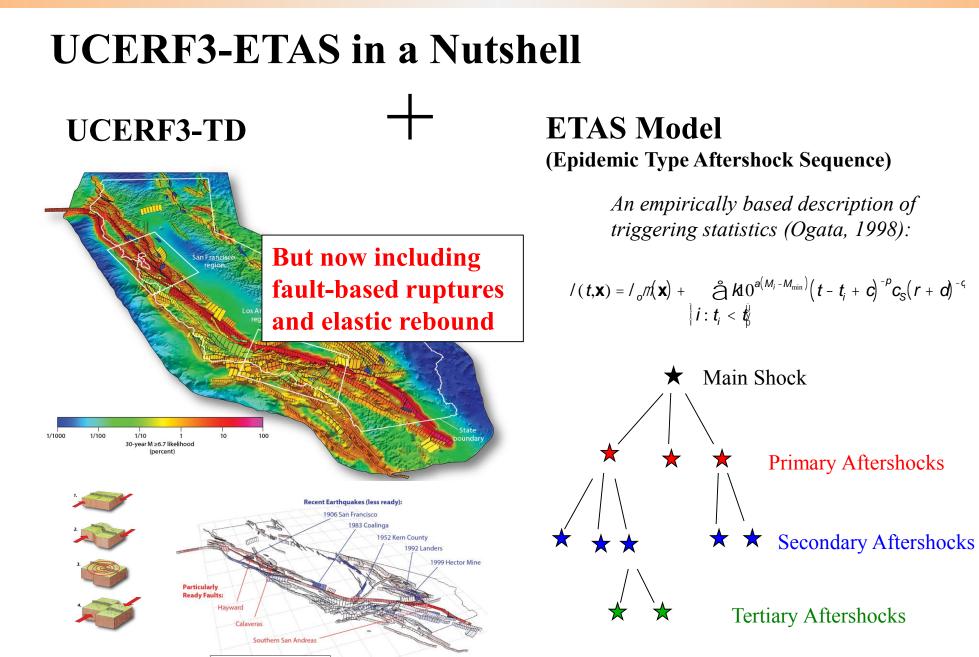


- 4) UCERF3-ETAS (Field et al., 2017)
  - Includes faults (considers proximity, long-term event rate, and elastic-rebound readiness)









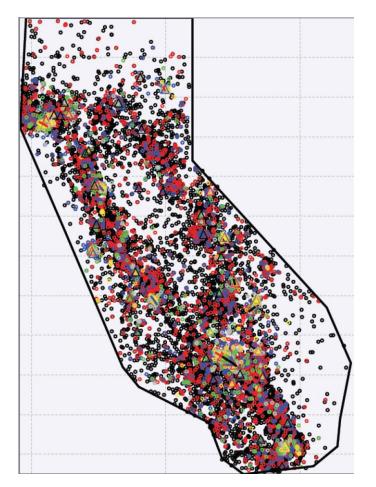






# **UCERF3-ETAS in a Nutshell**

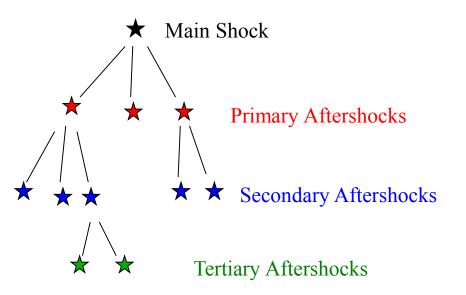
**Product**: synthetic catalog of events (stochastic event set)



**ETAS Model** 

(Epidemic Type Aftershock Sequence)

An empirically based description of triggering statistics (Ogata, 1998):





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# **UCERF3-ETAS** in a Nutshell

**Product**: synthetic catalog of events (stochastic event set) obtained by doing the following:

#### **ETAS Model** (Epidemic Type Aftershock Sequence)

Discretize UCERF3 region into 2 See BSSA paper for details (bookkeeping is somewhat For every observed and simulated complicated due to need for randomly sample a number of tri their origin times (using ETAS p elastic-rebound updating and *numerical efficiency*) For each event, we randomly san according to the distance decay f The assumption is that ETAS is We then chose a rupture based or an adequate statistical proxy for probability that each can nucleate the physics that causes largecube, and considering elastic reb event triggering

• We also allow spontaneous events to occur, which can also produce aftershocks

ased description of ics (Ogata, 1998):

 $a(M_i - M_{\min})(t - t_i + c)^{-\rho} c_{S}(r + d)^{-q}$ 

Shock

 $\mathbf{x}$ 

 $\star$ 

Primary Aftershocks

Secondary Aftershocks

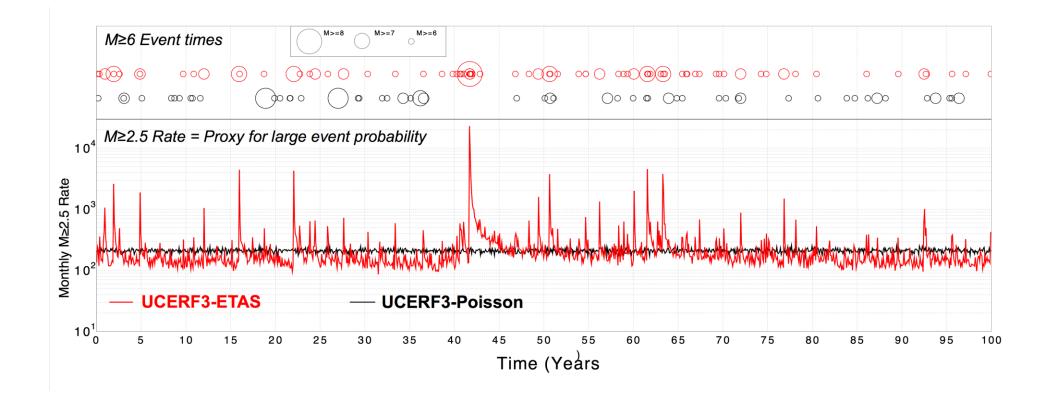








#### **Results...** Like all candidate OEF models, we essentially correlate changes in the rate of little earthquakes with the likelihood of having big ones



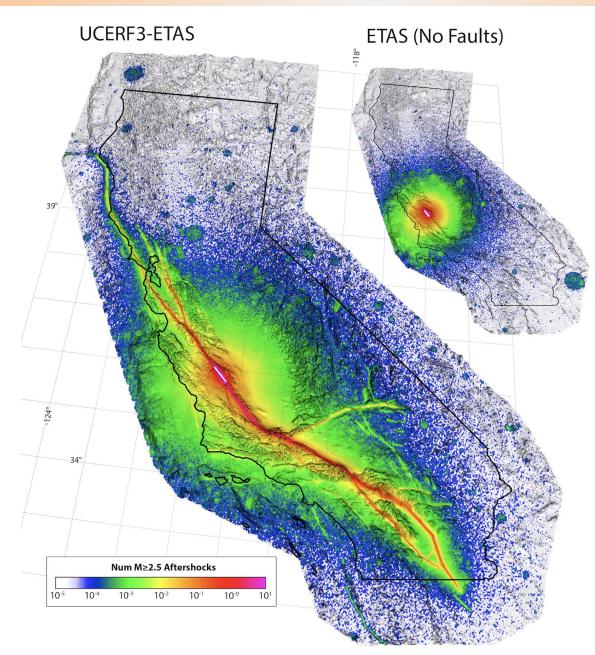






#### M 6.1 Parkfield Aftershocks (10 yrs following)

average of 200,000 simulations



Note that the M7.8 1857 Fort Tejon earthquake is believed to have been preceded by an M6.1 Parkfield foreshock (UCERF3-ETAS gives a 6e-3 probability of this occurring)

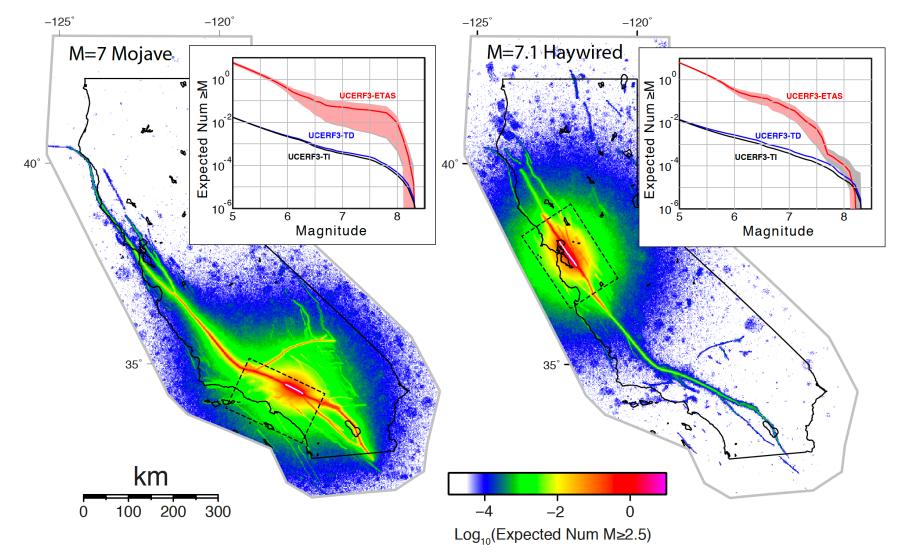






#### Aftershocks expected over a week following two main shock scenarios

The average of 200,000 UCERF3-ETAS simulations



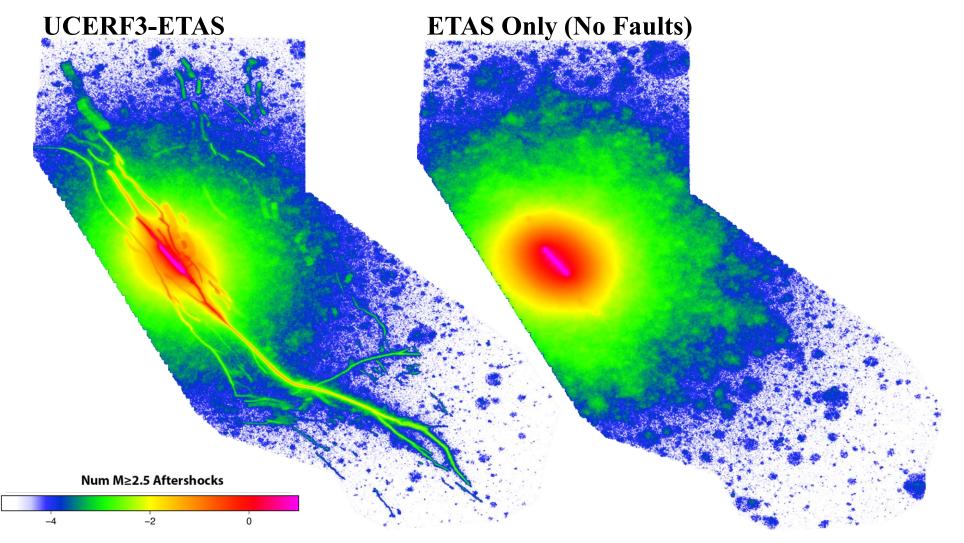






#### M 7.1 "HayWired" Scenario Aftershocks

The average of 200,000 UCERF3-ETAS simulations



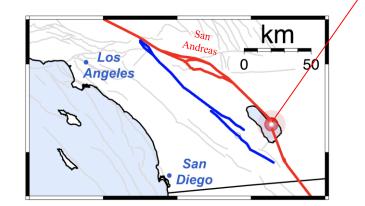






#### Swarm near Bombay Beach

## **CEPEC** Notification





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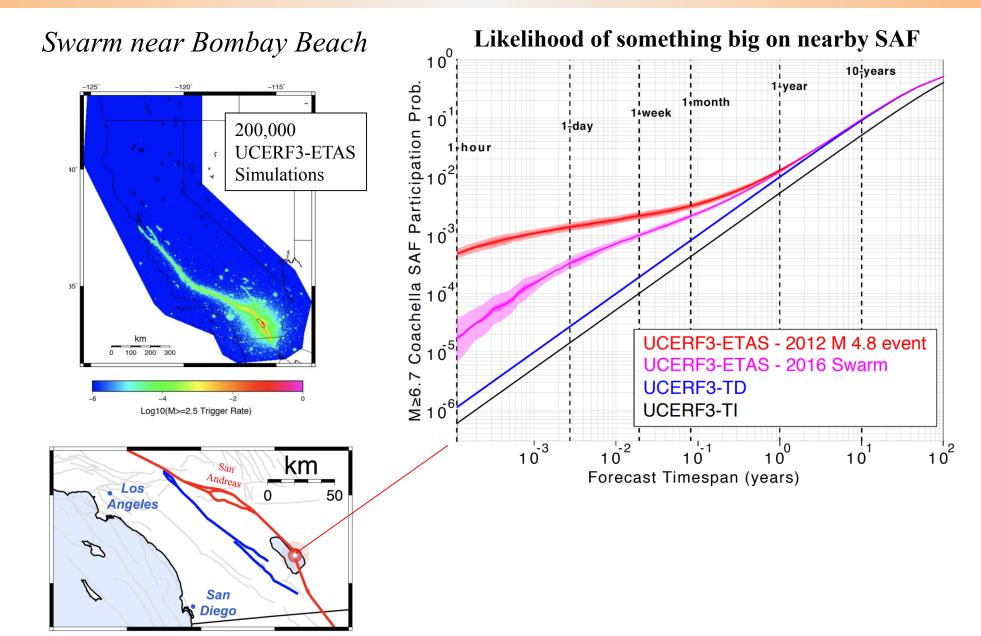
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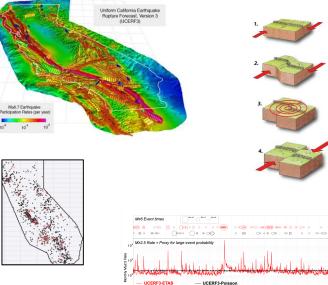


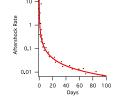


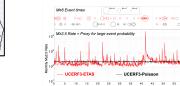


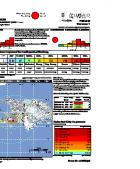
**UCERF3 Summary:** we now have a scientifically plausible, operationalizable, end-to-end forecast for California that:

- Relaxes segmentation and ٠ includes multi-fault ruptures
- Includes elastic rebound and ٠ spatiotemporal clustering
- Generates synthetic catalogs • (stochastic event sets)
- Within reach: USGS ٠ PAGER- and ShakeCasttype products, but giving risk from triggered events





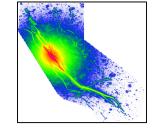




PAGER



ShakeCast









**UCERF3 Summary:** we now have a scientifically plausible, operationalizable, end-to-end forecast for California.

#### Scientific Implications:

Combing spatiotemporal clustering with faults implies a need for both characteristic magnitude-frequency distributions and elastic rebound (longstanding debate settled?)

#### **Practical Implications:**

Deploying UCERF3-ETAS as an Operational Earthquake Forecasting (OEF) system will take considerable time, effort, and resources

All models embody assumptions, approximations, and uncertainties, so the question is whether UCERF3-ETAS is right enough to be useful, and useful enough to be worth operationalizing; thus, we need to add *valuation* to our verification and validation protocol













# **Currently Viable OEF Models**

- 1) Reasenberg & Jones (1989)
- 2) STEP (Gerstenberger et al., 2005)
- 3) ETAS (Ogata, 1988)

**4**)

```
All these
ignore faults
```

UCERF3-ETAS (Field et al., 2017) Is this really more valuable than the other models, especially given it is more computationally expensive?







## Answer depends on:

1) What one is concerned about













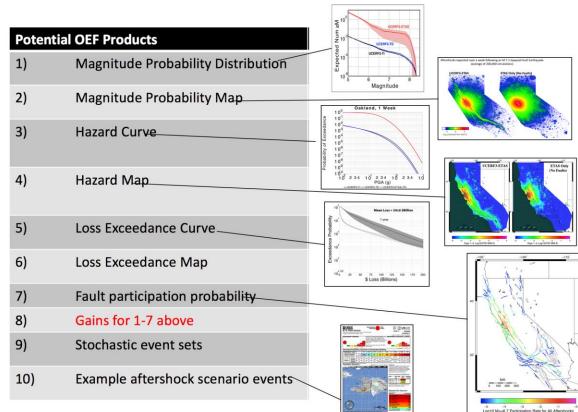








- 1) What one is concerned about
- 2) The product of interest (the hazard or risk metric)

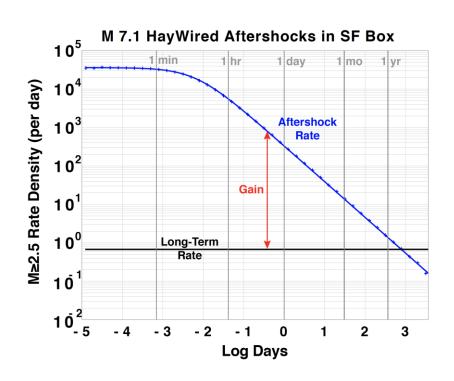








- 1) What one is concerned about
- 2) The product of interest (the hazard or risk metric)
- 3) What gains would be actionable (compared to long-term averages)

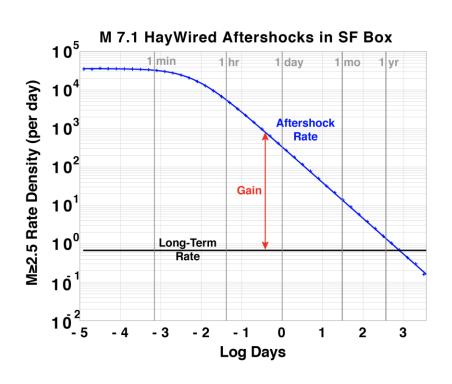








- 1) What one is concerned about
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- 4) The decision making timeframe (because gains decay rapidly)

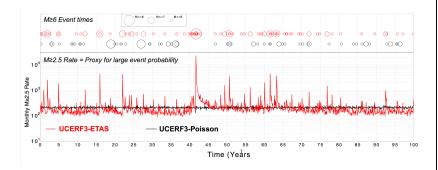








# Example with respect to statewide losses:



How do expected, statewide losses change with time, or after large main shocks?

#### A Prototype Operational Earthquake Loss Model for California Based on UCERF3-ETAS – A First Look at Valuation

Edward Field,<sup>a)</sup> <u>M.EERI</u>, Keith Porter, <sup>b)</sup> M.EERI, and Kevin Milner<sup>c)</sup> M.EERI

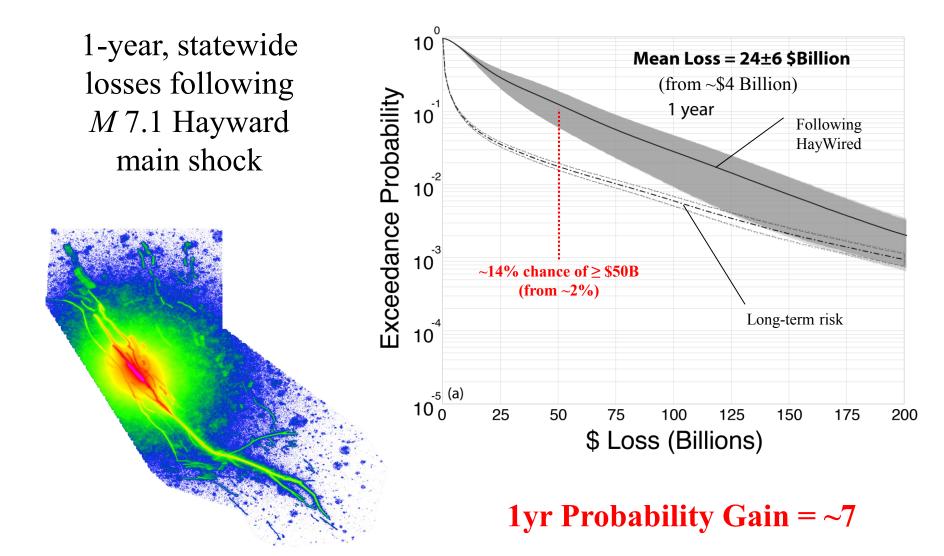
We present a prototype operational loss model based on UCERF3-ETAS, which is the third Uniform California Earthquake Rupture Forecast with an Epidemic Type Aftershock Sequence (ETAS) component. As such, UCERF3-ETAS represents the first earthquake forecast to relax fault segmentation assumptions and to include multi-fault ruptures, elastic-rebound, and spatiotemporal clustering, all of which seem important for generating realistic and useful aftershock statistics. UCERF3-ETAS is nevertheless an approximation of the system, however, so usefulness will vary and potential value needs to be ascertained in the context of each application. We examine this question with

#### respect to statewid orders of magniti earthquakes. Two loss likelihoods in time. Significant paper will inspire ascertain whether considerable resour







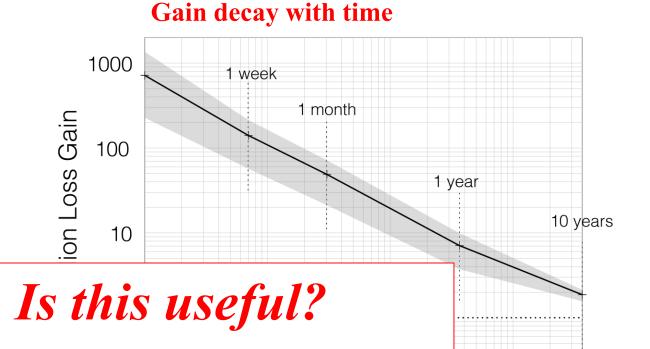








1-year, statewide losses following M 7.1 Hayward main shock



Answer from commercial loss modelers: probably, but they have a chicken and egg problem in that they can't build it until someone is willing to pay for it, and clients don't want to pay until they see some results

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# **Does Some form of OEF have potential value?**

- 1) What one is concerned about
- What product they want (the hazard of risk metric)
- 3) What gains would be actionable (compared to long-term averages)
- 4) The decision making timeframe (because gains decay rapidly)

- So we are still in the process of getting answers to these questions (and this may take some time)
- Given budgetary constraints, the USGS will need to partner with stakeholders to go beyond traditional capabilities







# What about UCERF4?

- Need time for the community to figure out what we would want to "fix"
- Host workshops in about six months to discuss?







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#### **UCERF3 Questions/Issues/Uncertainties:**

- 1) Artificial distinction between on- and off-fault qks
- 2) What do modeled faults actually represent (braided?)
- 3) What is the actual fault interconnectivity?
- 4) Slip rates (GPS vs geology, backslip, block models)
- 5) Total regional rate of M≥5.0 events (cat. completeness, temporal changes)
- 6) Paleoseismic RI models for the pr We need
- 7) Defining date-ofall faults **physics-based**
- 8) Mmax off model simulators to
- 9) Likelihood of mu physics) **h** 
  - help solve these
- 10) 70% aseismicity on rauns?
- 11) Smoothed-seismicity model applicability (deformation model alternatives?)
- 12) Spatial resolution of Gutenberg Richter assumption
- 13) Better sampling of viable models (U3 held close to U2; physics narrows solution space?)

- 14) Manifestation of creep (e.g., area vs slip-rate reduction?)
- 15) Magnitude-area and slip-length scaling (surface slip obs, depth of rupture)
- 16) Average slip along rupture (boxcar? multi-rainbow for multi-fault ruptures?)
- 17) Finite faults + clustering stats requires Elastic Rebound
- 18) Elastic-rebound predictability (spatial overlap of large aftershocks; COV variations)
- 19) To what extent can large triggered events nucleate from within rupture area of main shock?
- 20) Are triggering stats really applicable to larger events, especially sequence-specific ones?
- 21) Time evolution of MFDs at both low and high magnitudes?
- 22) Difference between multi-fault rupture and quickly triggered separate event
- 23) In addition to verification and validation, we also need
   *valuation* of our models (all are wrong; is a new one more useful?)







## Overview of the

# 3<sup>rd</sup> Uniform California Earthquake Rupture Forecast (UCERF3)

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